



CF-84

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Joseph M. Asher and Howard W. Lutnick

Application No.: 10/629,128

Filed : July 28, 2003

For : SYSTEMS AND METHODS FOR AUTOMATED  
INTERNET-BASED AUCTIONS

New York, New York  
December 18, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Sir:

Preliminary to the examination, applicants hereby amend the  
above-identified application as follows:

Amendment to the Specification begins on page 2 of this  
paper.

Remarks begin on page 3 of this paper.



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TRANSMITTAL LETTER

Sir:

Transmitted herewith is a Supplemental Information  
Disclosure Statement in the above-identified application.  
This Statement is submitted:

- [ ] within three months of the application filing date;
- [X] more than three months from the application  
filing date but before the mailing date of the  
first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of  
this Statement requires no fee. However, if for any reason a  
fee is due, the Director is hereby authorized to charge  
payment of any fees required in connection with this

Supplemental Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Also Transmitted herewith is a Preliminary Amendment to be filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.

☐ A fee for additional claims is required.

The additional fee has been calculated as shown below:

	CLAIMS		HIGHEST							
	REMAINING		NUMBER		PRESENT	RATE	ADDITIONAL			
	AFTER		PREVIOUSLY		EXTRA					
	AMENDMENT		PAID FOR							
TOTAL CLAIMS	100	-	100*	=	0	x	\$18	=	\$0	
INDEPENDENT										
CLAIMS	2	-	3**	=	0	x	\$86	=	\$0	
FIRST PRESENTATION OF A										
MULTIPLE DEPENDENT CLAIM						+	\$290	=	\$0	
							TOTAL		<u>\$0</u>	

\* If less than 20, insert 20.

\*\* If less than 3, insert 3.

- ☐ A check in the amount of \$\_\_\_\_ in payment of the fee for additional claims is transmitted herewith.
- ☒ The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.16 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- ☐ Please charge \$ \_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the fee for additional claims. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

- ☐ The following extension fee is applicable to the Response filed herewith: ☐ \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); ☐ \$420.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); ☐ \$950.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1480.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); ☐ \$2010.00 extension fee for response within fifth month pursuant to 37 C.F.R. § 1.136(a).

- ☐ A check in the amount of ☐ \$110.00 ☐ \$420.00 ☐ \$950.00  
☐ \$1480.00 ☐ \$2010.00 in payment of the extension fee  
is transmitted herewith.
- ☒ The Director is hereby authorized to charge payment of  
any additional extension fees required under 37 C.F.R.  
§ 1.17 in connection with the paper(s) transmitted  
herewith, or credit any overpayment of same, to Deposit  
Account No. 06-1075. A duplicate copy of this  
transmittal letter is transmitted herewith.
- ☐ Please charge the ☐ \$110.00 ☐ \$420.00 ☐ \$950.00  
☐ \$1480.00 ☐ \$2010.00 extension fee to Deposit Account  
No. 06-1075. A duplicate copy of this transmittal letter  
is transmitted herewith.

Respectfully submitted,



Hassan Albakri  
(Limited Recognition)  
Agent for Applicants  
FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1105  
Tel.: (212) 596-9000

I hereby certify that this  
Correspondence is being  
deposited with the U.S.  
Postal Service as First  
Class Mail in an envelope  
Addressed to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450 on

December 18, 2003  
Lillian Garcia

  
Signature of Person Signing